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## **SEC RULE 606 ORDER ROUTING REPORT 2009 Quarter Q3**

ITG Derivatives LLC (formerly RedSky Financial) has prepared the following report in accordance with SEC Rule 606 (formerly SEC Rule 11Ac1-6). ITG Derivatives LLC is required to produce and make publicly available a quarterly report detailing its routing of non-directed customer orders during that quarter. Non-directed orders are defined as orders in which the customer has not specifically designated an order to be sent to a particular venue for execution. For these orders, ITG Derivatives LLC has chosen the venue on behalf of its customers.

The report is divided into four separate sections: one for securities listed on the New York Stock Exchange, one for securities listed on the Nasdaq Stock Market, one for securities listed on the American Stock Exchange and one for exchange listed options.

### **Securities Listed on the New York Stock Exchange**

Percentage of total customer order that were non-directed	0%
Percentage of non-directed orders that were market orders	0%
Percentage of non-directed orders that were limit orders	0%
Percentage of non-directed orders that were other orders	0%

No venue received non-directed orders from ITG Derivatives LLC

### **Securities Listed on the Nasdaq Stock Market**

Percentage of total customer order that were non-directed	0%
Percentage of non-directed orders that were market orders	0%
Percentage of non-directed orders that were limit orders	0%
Percentage of non-directed orders that were other orders	0%

No venue received non-directed orders from ITG Derivatives LLC

### **Securities Listed on the American Stock Exchange**

Percentage of total customer order that were non-directed	0%
Percentage of non-directed orders that were market orders	0%

Percentage of non-directed orders that were limit orders	0%
Percentage of non-directed orders that were other orders	0%

No venue received non-directed orders from ITG Derivatives LLC

**Exchange Listed Option Statistics**

Percentage of total customer order that were non-directed	26.39%
Percentage of non-directed orders that were market orders	0.00083%
Percentage of non-directed orders that were limit orders	99.99141%
Percentage of non-directed orders that were other orders	0.0000%

Venues receiving over 5% of non-directed orders:

International Securities Exchange:	39.0861%
Chicago Board Options Exchange:	16.1446%
Boston Option Exchange:	15.7788%
NYSE/ARCA Pacific Exchange:	10.8051%
Nasdaq Option Market:	7.6876%
Philadelphia Options Exchange:	5.7134%

**International Securities Exchange**

Market orders as percentage of total non-directed orders	0.00000%
Limit orders as percentage of total non-directed orders	100%
Other orders as percentage of total non-directed orders	0%

**Philadelphia Securities Exchange**

Market orders as percentage of total non-directed orders	0.00002%
Limit orders as percentage of total non-directed orders	99.99998%
Other orders as percentage of total non-directed orders	0%

**Chicago Board Options Exchange**

Market orders as percentage of total non-directed orders	0.00001%
Limit orders as percentage of total non-directed orders	99.99999%
Other orders as percentage of total non-directed orders	0%

### **Pacific Stock Exchange**

Market orders as percentage of total non-directed orders	0.00001%
Limit orders as percentage of total non-directed orders	99.99999%
Other orders as percentage of total non-directed orders	0%

### **Boston Option Exchange**

Market orders as percentage of total non-directed orders	0.00001%
Limit orders as percentage of total non-directed orders	99.99999%
Other orders as percentage of total non-directed orders	0%

### **American Stock Exchange**

Market orders as percentage of total non-directed orders	0.00001%
Limit orders as percentage of total non-directed orders	99.99999%
Other orders as percentage of total non-directed orders	0%

### **NASDAQ Option Exchange**

Market orders as percentage of total non-directed orders	0.00001%
Limit orders as percentage of total non-directed orders	99.99999%
Other orders as percentage of total non-directed orders	0%

### **Additional Disclosures**

ITG Derivatives LLC has no ownership in nor is it affiliated with any venue in which customer non-directed orders are routed. ITG Derivatives LLC may receive compensation or remuneration in connection with the routing of some non-directed orders. Details can be obtained by sending an email to [itgd-ops@itg.com](mailto:itgd-ops@itg.com).

### **Requests for Additional Information**

Upon request, ITG Derivatives LLC will provide information regarding the identity of the market center to which any customer order was routed in the prior year. Should you require any information pertaining to this, please email [ITGd-ops@itg.com](mailto:ITGd-ops@itg.com) with a subject line of SEC Rule 606.